

STOCK TRANSFER FORM

(Above this line for Registrars only)

Consideration Money		Certificate lodged with the Registrar (For completion by the Registrar/Stock Exchange)	
Name of Undertaking			
Description of Security			
Number or amount of Shares, Stock or other security and, in figures column only, number and denomination of units, if any.	Words	Figures (units of)	
Names of registered holder(s) should be given in full; the address should be given where there is only one holder. If the transfer is not made by the registered holder(s) insert also the name(s) and capacity (e.g. Executor(s) of the person(s) making the transfer.			

I/We hereby transfer the above security out of the name(s) aforesaid to the person(s) named below.

Signature(s) of transferor(s)

1

2

3

4

A body corporate should execute this transfer under its common seal or otherwise in accordance with applicable statutory requirements.

Stamp of Selling Broker(s) or, for transactions which are not Stock Exchange transactions, of Agent(s), if any, acting for the transferor(s).

Date

Full name(s) and full postal address(es) (including country or, if applicable, Postal District, number) of the person(s) to whom the security is transferred. Please state title, if any, or whether Mr. Mrs. or Miss.

Please complete in typewriting or in Block Capitals.

I/We request that such entries be made in the register as are necessary to give effect to this transfer.

Stamp of Buying Brokers (if any)

Stamp or name and address of person lodging this form (if other than the Buying Brokers)

Reference to the Registrar in this Form means the registrar or registration agent of the undertaking NOT the Registrar of Companies at Companies House.

FORM OF CERTIFICATE REQUIRED WHERE TRANSFER IS EXEMPT FROM STAMP DUTY

Instruments executed on or after 1st May 1987 effecting any transaction within the following categories are exempt from stamp duty:

- A. The vesting of property subject to a trust in the trustees of the trust on the appointment of a new trustee, or in the continuing trustees on the retirement of a trustee.
- B. The conveyance or transfer of property the subject of a specific devise or legacy to the beneficiary named in the will (or his nominee).
- C. The conveyance or transfer of property which forms part of an intestate's estate to the person entitled on intestacy (or his nominee).
- D. The appropriation of property within section 84(4) of the Finance Act 1985 (death: appropriation in satisfaction of a general legacy of money) or section 84(5) or (7) of that Act (death: appropriation in satisfaction of any interest of surviving spouse and in Scotland also of any interest of issue).
- E. The conveyance or transfer of property which forms part of the residuary estate of a testator to a beneficiary (or his nominee) entitled solely by virtue of his entitlement under the will.
- F. The conveyance or transfer of property out of a settlement in or towards satisfaction of a beneficiary's interest, not being an interest acquired for money or money's worth, being a conveyance or transfer constituting a distribution of property in accordance with the provisions of the settlement
- G. The conveyance or transfer of property on and in consideration only of marriage to a party to the marriage (or his nominee) or to trustees to be held on the terms of a settlement made in consideration only of the marriage.
- H. The conveyance or transfer of property within section 83(1) of the Finance Act 1985 (transfers in connection with divorce, etc.).
- I. The conveyance or transfer by the liquidator of property which formed part of the assets of the company in liquidation to a shareholder of that company (or his nominee) in or towards satisfaction of the shareholder's rights on a winding-up.
- J. The grant in fee simple of an easement in or over land for no consideration in money or money's worth.
- K. The grant of a servitude for no consideration in money or money's worth.
- L. The conveyance or transfer of property operating as a voluntary disposition inter vivos for no consideration in money or money's worth nor any consideration referred to in section 57 of the Stamp Act 1891 (conveyance in consideration of a debt, etc.).
- M. The conveyance or transfer of property by an instrument within section 84(1) of the Finance Act 1985 (death: varying disposition).

CERTIFICATE

(1) Insert appropriate category I/We hereby certify that this instrument falls within category (1) in the schedule to the Stamp Duty (Exempt Instruments) Regulations 1987.

(2) Delete if the certificate is given by the transferor or his solicitor. I/we confirm that I/we have been duly authorised by the transferor to sign this certificate and that the facts of the transaction are within my/our knowledge. (2)

Signature(s)

Description ("Transferor", "Solicitor", etc.)

Date _____

FORM OF CERTIFICATE REQUIRED WHERE TRANSFER IS NOT EXEMPT BUT IS NOT LIABLE TO AD VALOREM STAMP DUTY - £5 fixed duty payable from October 1999 (previously 50 pence)

Some instruments of transfer are liable to a fixed duty of £5 when the transaction falls within one of the following categories for which the certificate below may be completed.

- (1) Transfer by way of security for a loan or re-transfer to the original transferor on repayment of a loan.
- (2) Transfer, not on sale and not arising under any contract of sale and where no beneficial interest in the property passes: (a) to a person who is a mere nominee of, and is nominated only by, the transferor; (b) from a mere nominee who has at all times held the property on behalf of the transferee; (c) from one nominee to another nominee of the same beneficial owner where the first nominee has at all times held the property on behalf of that beneficial owner. (NOTE - This category does not include a transfer made in any of the following circumstances: (i) by a holder of stock, etc., following the grant of an option to purchase the stock, to the person entitled to the option or his nominee; (ii) to a nominee in contemplation of a contract for the sale of stock, etc., then about to be entered into; (iii) from the nominee of a vendor, who has instructed the nominee orally or by some unstamped writing to hold stock, etc., in trust for the purchaser, to such purchaser).

(1) insert "1" or "2"

(2) Here set out concisely the facts explaining the transaction in cases falling within (1) or (2) or in any other case where 50p fixed duty is offered.

I/we hereby certify that the transaction in respect of which this transfer is made is one which falls within the category (1) above.

I/we confirm that I/we have been duly authorised by the transferor to sign this certificate and that the facts of the transaction are within my/our knowledge.

(2) _____

Signature(s)

Description ("Transferor", "Solicitor", etc.)

Date _____